

Defense Civilian Intelligence Personnel System (DCIPS) Performance Appraisal Application (PAA)

Guide for the Performance Evaluation Single Assessment Option Overview: Effective 30 June 2013, the Defense Civilian Personnel Data System (DCPDS) was modified to allow a new assessment option in the automated Performance Appraisal Application (PAA) module for the Defense Civilian Intelligence Personnel System (DCIPS) when completing the employee and rating official assessments for the Performance Evaluation. This new assessment option provides a single assessment block up to 6,000 characters for the employee and a single assessment block up to 3,000 characters for the rating official to enter their assessment and is available beginning with the Performance Cycle for 2013. The current assessment module in which the employee and the rating official enters the assessments for each of their Performance Objectives and each of the Performance Elements (or all Performance Element assessments are summarized in the Performance Element 1 block) remains in place and will continue to be the option displayed on the "Performance Objective Assessments' tab and the 'Performance Element Assessments' tab in the 'Performance Evaluation' tab unless the 'DCIPS PAA Assessment Option' is updated to use this new assessment option. This change applies to the completion of the assessment blocks for the Performance Evaluation only within the PAA; the Performance Evaluation Approvals & Acknowledgments process remains the same. Additionally, this additional assessment option does not apply to the Midpoint Review assessments or the Mock Pay Pool Info assessments within the DCIPS PAA.

Setting the DCIPS PAA Assessment Option: The assessment option to be used for the completion of the Performance Evaluation assessments is identified at the pay pool level. This assessment option can be updated by the Pay Pool Administrator/Alternate Pay Pool Administrator or the Pay Pool Manager/Alternate Pay Pool Manager. To continue using the current Performance Evaluation assessment screens, no action is required. To change the assessment option to display the single block for the employee and for the rating official assessments for the 2013 Performance Year or later, the following steps must be accomplished. Once the assessment option is set, the assessment option entered will continue to be the option for subsequent Performance Years unless the 'DCIPS PAA Assessment Option' is updated to a different value for a later Performance Year.

- **Step 1**: Log into DCPDS and select your pay pool responsibility from the Navigator.
- **Step 2**: Select 'Manage Pay Pool IDs' from the menu.
- **Step 3:** On the 'Create PP ID' tab, retrieve the pay pool information for the pay pool to be updated by first pressing <**F11>**, then enter the pay pool ID in the 'Segment 1` field and then press **<Ctrl> + <F11>** to populate the form with the pay pool information.

Note: If you enter an existing pay pool ID in Segment 1 without retrieving the pay pool information first, you will receive an error message stating "you cannot create duplicate Pay Pool ID's. This (Pay Pool) already exists.'

Step 4: Navigate to the 'DCIPS Pay Pool PAA Assessment Options' section, select the 'Performance Year' from the list of values (LOV) to identify the Performance Year for which the option becomes effective and select 'OK'. Select the 'Assess Perf Objectives and Perf Elements for the Performance Eval in a Single Block'(Option 2) from the LOV for the 'DCIPS PAA Assessment Option' to use the one assessment block option and select 'OK'. Then click on the 'Save' icon.

Notes:

- 1) If you are creating a new pay pool, the Performance Year and assessment option can be entered in this section at the time the new pay pool is created.
- 2) The single assessment block option cannot be effective any earlier than Performance Year 2013.
- 3) If this section is null, the Performance Evaluation screens will continue to display assessment blocks for each performance objective and performance element.
- 4) Once Option 2 is selected, this option will remain in effect until a subsequent Performance Year is entered with the 'Assess Perf Objectives individually and Elements individually or Summarized in PE 1' (Option 1) for the DCIPS PAA Assessment Option.

Step 5: A 'Decision' box will then appear; select 'Yes' to continue or 'No' to cancel the update.

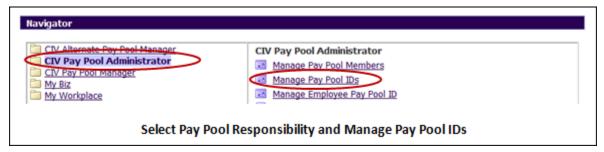
Notes:

1) When 'Yes' is selected, a concurrent program will be executed to update the PAA assessment option for those PAAs that have already been created for the Performance Year specified for employees in the pay pool UNLESS the PAA already contains data in the

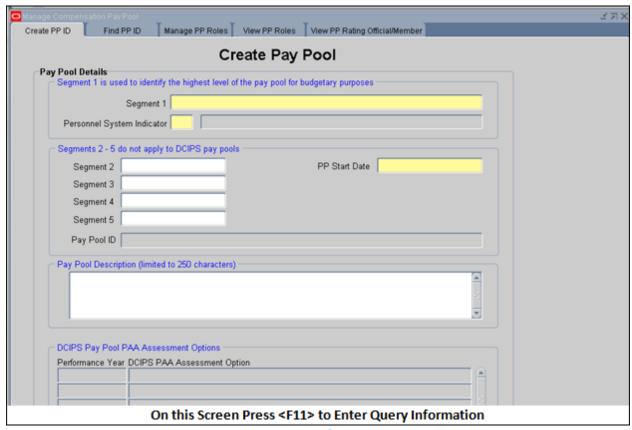
- assessment blocks. If the PAA already contains assessment text in the Performance Evaluation area, then the assessment screens will continue to display the prior assessment option for that specific PAA.
- 2) Any new Performance Plans created once the option is set will display the Performance Evaluation assessment screens based on this option. Thus, it is highly recommended that the updating of the DCIPS PAA Assessment Option is accomplished prior to creation of the performance plans for that Performance Year.
- **Step 6:** Select the 'X' in the top right-hand corner to exit this screen.

Step 7: To view the output from the concurrent program process when 'Yes' was selected, select 'View' and then 'Requests' from the top menu list and then select 'Find'. The name for this concurrent program will be 'DCIPS Update PAA records assessment option value'. To view the results, select 'View Output'. Once the output is displayed, select 'File' to save to your computer. If you save the file as 'Text', the records that were touched can be imported into an Excel spreadsheet.

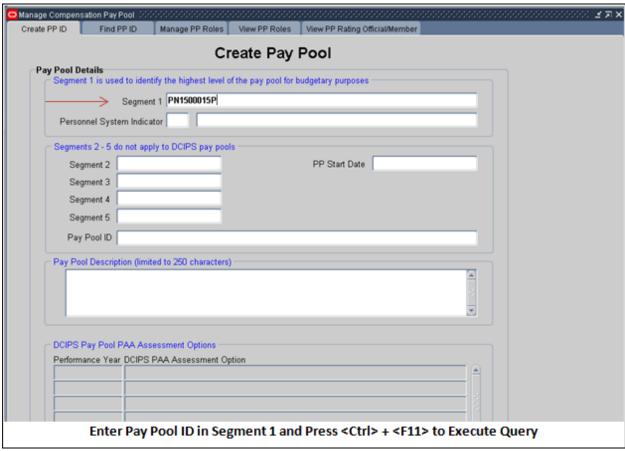
Sample Screenshots for Setting the DCIPS PAA Assessment Option



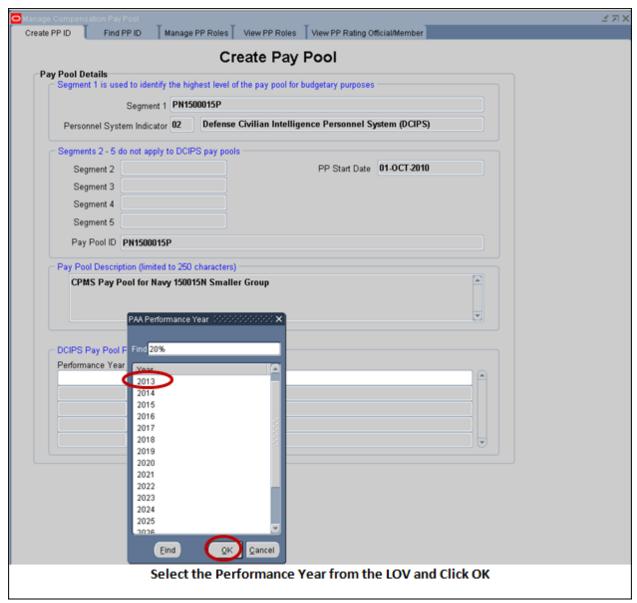
Screenshot 1



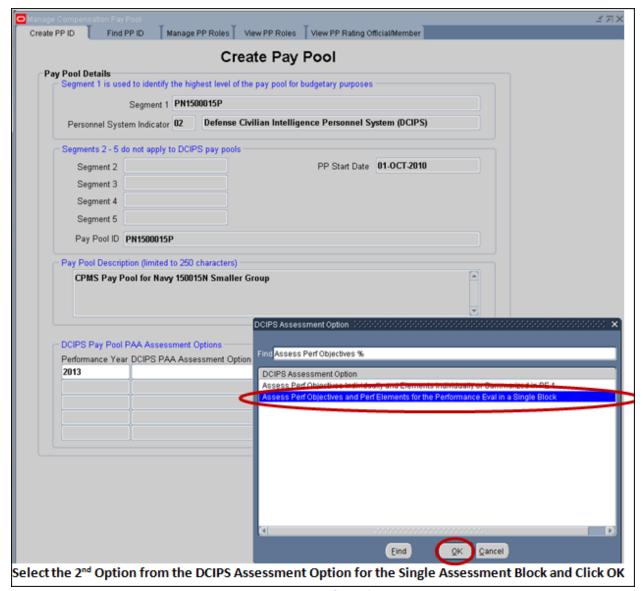
Screenshot 2



Screenshot 3



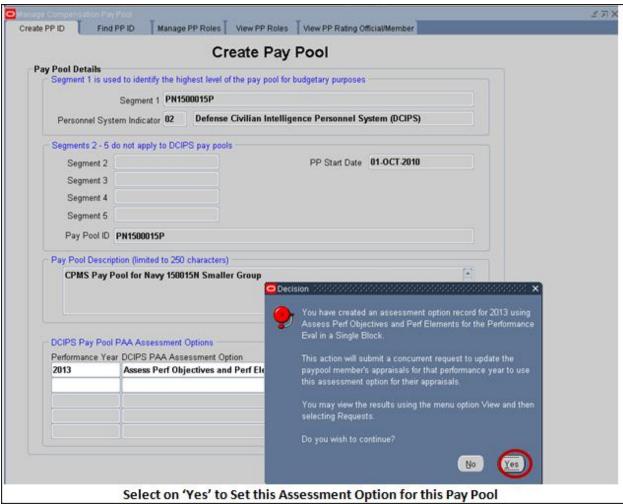
Screenshot 4



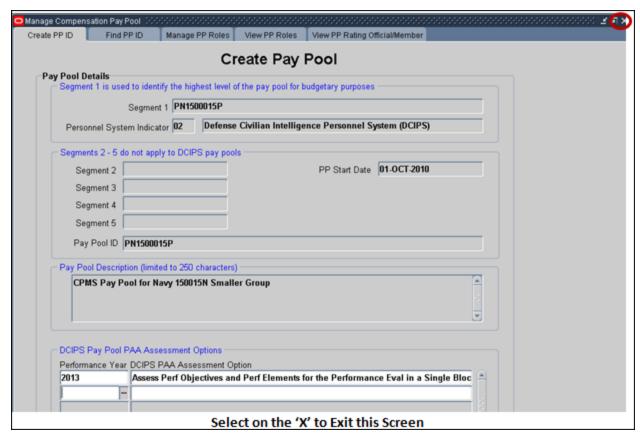
Screenshot 5



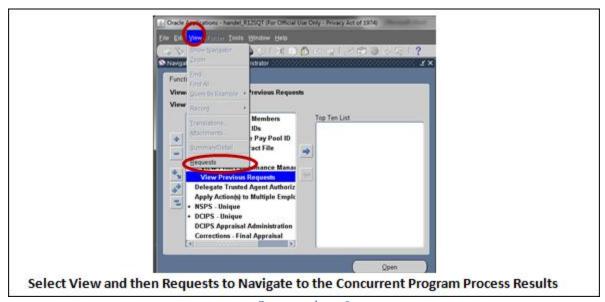
Screenshot 6



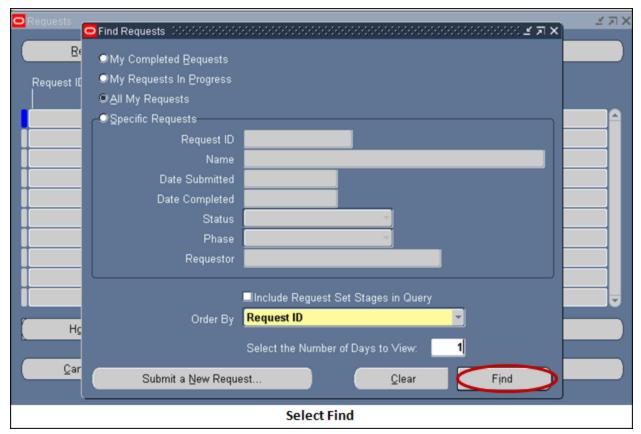
Screenshot 7



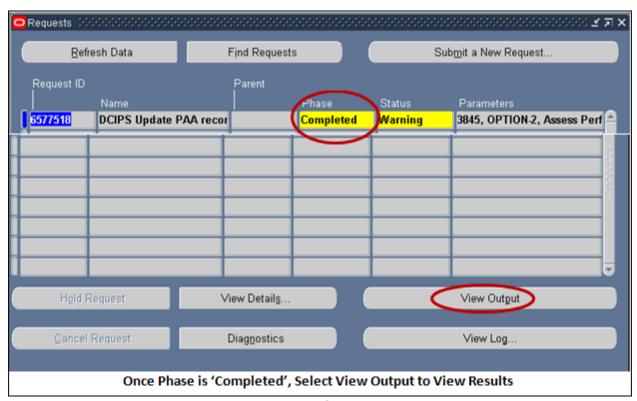
Screenshot 8



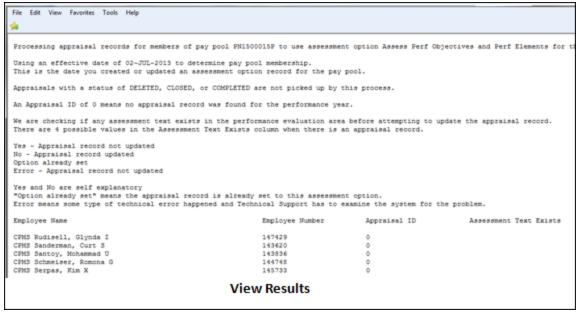
Screenshot 9



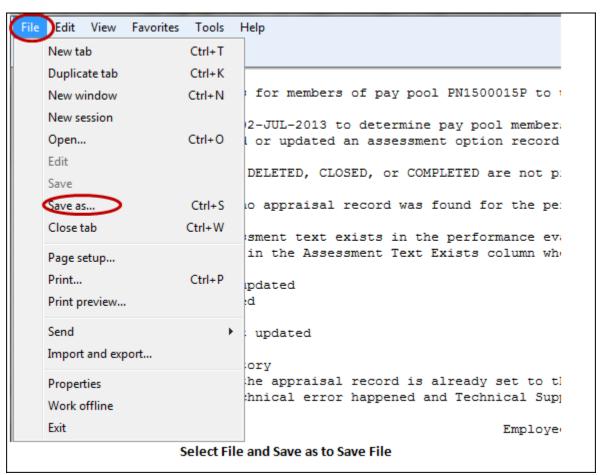
Screenshot 10



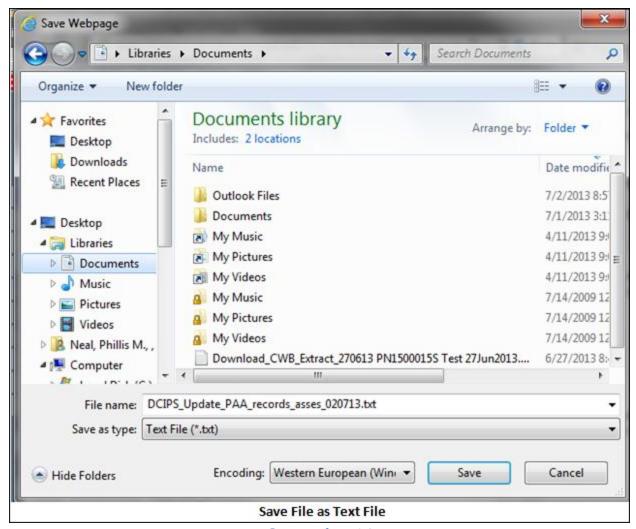
Screenshot 11



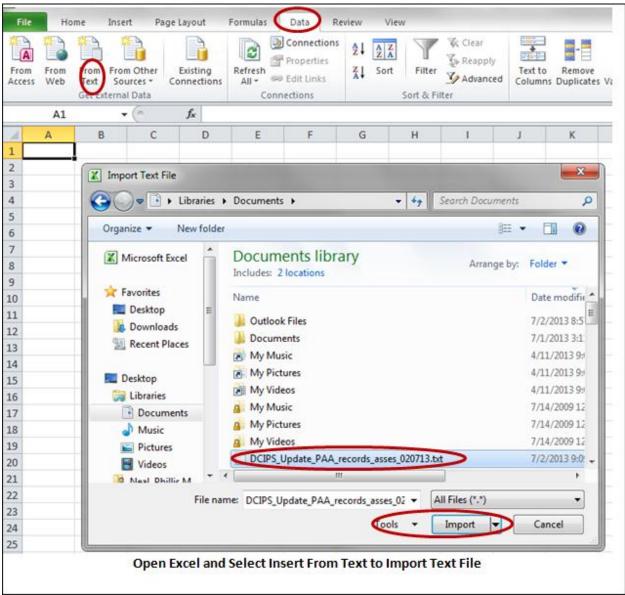
Screenshot 12



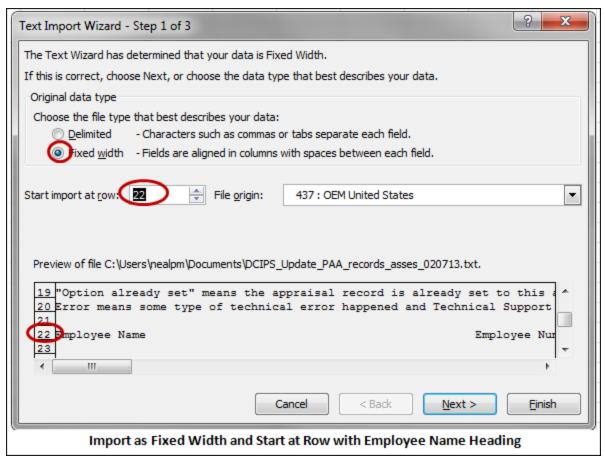
Screenshot 13



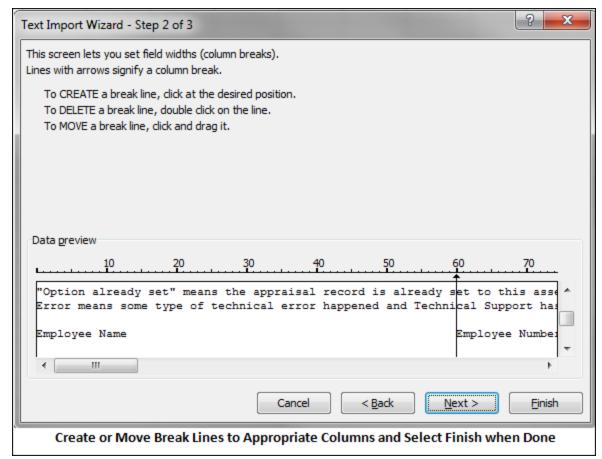
Screenshot 14



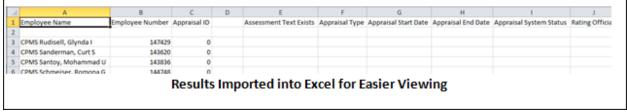
Screenshot 15



Screenshot 16



Screenshot 17



Screenshot 18

DCIPS PAA How Do I...Employee Revisions for Single Assessment Option:

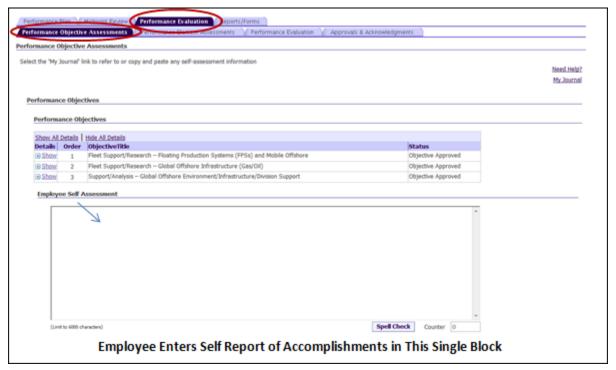
Section 1: Employee - How Do I...

Performance Evaluation (Annual Appraisal – DCIPS, Closeout – Early Annual – DCIPS, or Closeout - DCIPS)

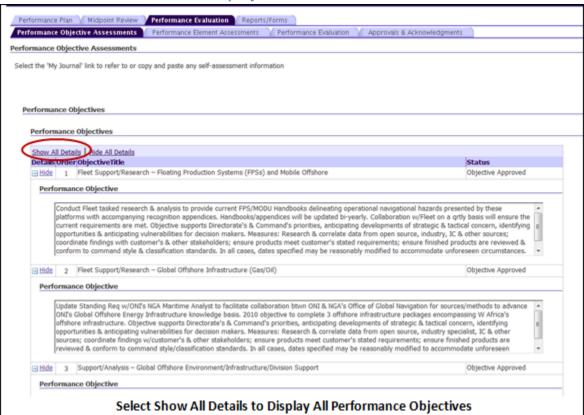
Create My Self-Assessment?

- 1. Begin at the Performance Appraisal Application main page.
- 2. Open your current performance plan by selecting 'Update' from the 'Action' drop-down menu.
- 3. Select the 'Go' link.
 - Note: The DCIPS PAA status will be 'Midpoint Review Completed' or 'Plan Approved.'
- 4. Check 'Appraisal Type' field from the *Performance Plan Details* tab to ensure correct type has been selected either 'Annual Appraisal DCIPS', 'Closeout Early Annual DCIPS' or 'Closeout DCIPS'. If incorrect, select the appropriate type.
- 5. Select the *Performance Evaluation* tab followed by *Performance Objective*Assessments tab.
- 6. Select the 'Show Performance Objectives' link and then the 'Show' link under 'Details' next to the 'Performance Objective', or select the 'Show All Details' link to review performance objectives.
- 7. Type your consolidated performance objectives and performance elements self-report of accomplishments into the 'Employee Self Assessment' box. You may copy and paste this data into this box from MS Word or 'My Journal'. You may select the 'Spell Check' button to check the spelling of the text.
- 8. When you have completed entering your self-report of accomplishments for your performance evaluation, transfer your performance evaluation to your rating official by selecting the 'Transfer to Rating Official' link at the top of the page.
- 9. Type an e-mail message to your rating official requesting a review. The 'Spell Check' button is available to check the spelling in the email message.
- 10. Select the 'Transfer to Rating Official' link at the top of the *E-Mail Notification* page.

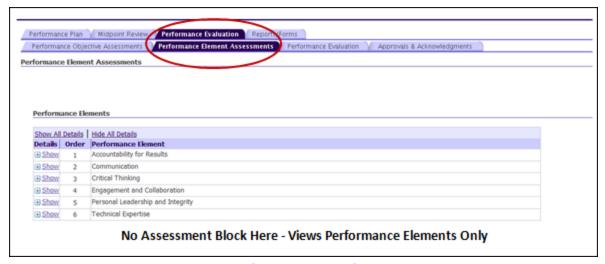
Sample of Employee Screenshots for DCIPS PAA Assessment Option 2



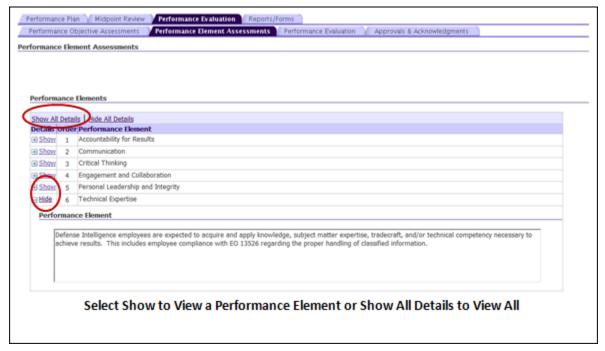
Employee Screenshot 1



Employee Screenshot 2



Employee Screenshot 3



Employee Screenshot 4

DCIPS PAA How Do I...Rating Official Revisions for Single Assessment Option:

Section 2 - Rating Official - How Do I...

Performance Evaluation (Annual Appraisal – DCIPS, Closeout – Early Annual – DCIPS, or Closeout - DCIPS)

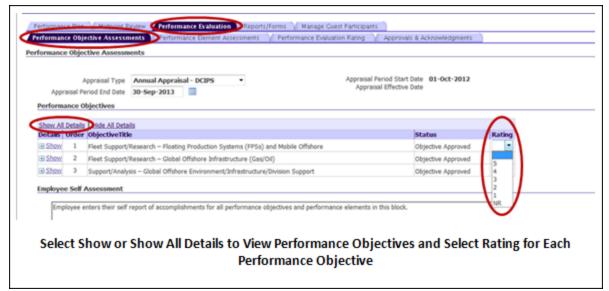
Rate an Employee's Performance?

- 1. Begin at the Performance Appraisal Application main page.
- 2. Open an employee's existing performance plan by selecting 'Update' from the 'Action' drop-down menu.
- 3. Select the 'Go' link.
 - **Note:** The DCIPS Current PAA Status will be 'Midpoint Review Completed' or 'Plan Approved'.
- 4. Review 'Appraisal Type' field from the *Performance Plan Details* tab to ensure correct appraisal type has been selected.
- 5. Select the *Performance Evaluation* tab to continue.
- 6. Select the *Performance Objective Assessments* tab to list the employee's performance objectives.
- 7. Select the 'Show Performance Objectives' link and then the 'Show' link under 'Details' next to the 'Performance Objective', or select the 'Show All Details' link to review performance objectives.
- 8. Enter your consolidated performance objectives and performance elements evaluation in the "Rating Official Assessment" box. You may select the 'Spell Check' button to check the spelling of the text.
- 9. Recommend a performance objective rating for each Performance Objective.
- 10. Select the *Performance Element Assessments* tab to rate the employee's performance elements.
- 11. Select the 'Show Performance Elements' link and then the 'Show' link under 'Details' next to the 'Performance Element', or select the 'Show All Details' link to review performance elements.
- 12. Recommend a performance element rating for each Performance Element.
- 13. Select the *Performance Evaluation Rating* tab to continue with the process, or select the 'Logout' link to end your session.

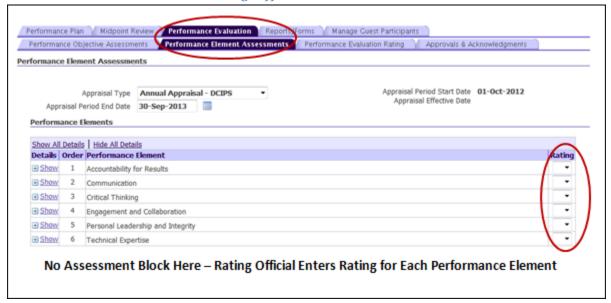
Sample of Rating Official Screenshots for DCIPS PAA Assessment Option 2



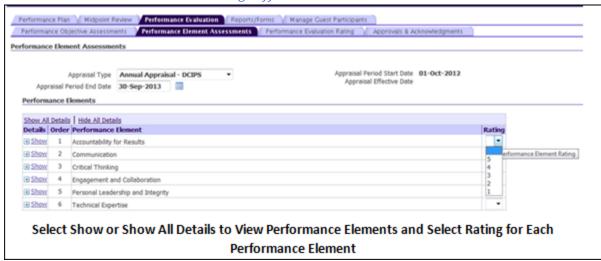
Rating Official Screenshot 1



Rating Official Screenshot 2



Rating Official Screenshot 3



Rating Official Screenshot 4

DCIPS PAA How Do I...Reviewing Official Revisions for Single Assessment Option:

Section 3 - Reviewing Official - How Do I ...

Performance Evaluation (Annual Appraisal – DCIPS, Closeout – Early Annual – DCIPS, or Closeout - DCIPS)

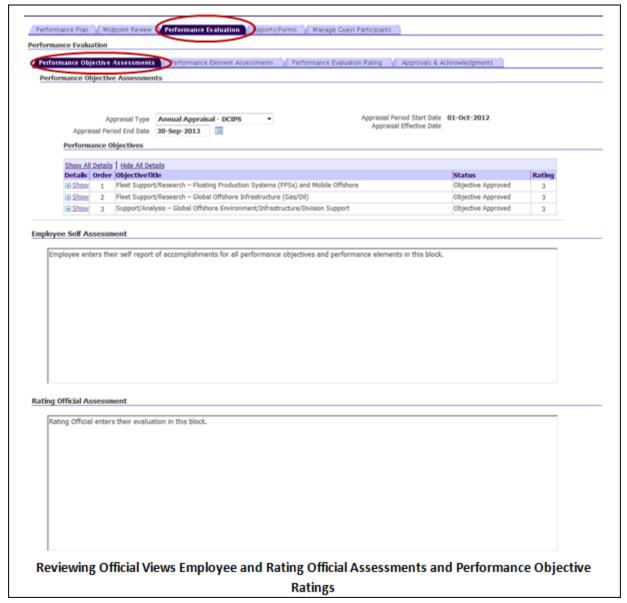
Review a Recommended Evaluation of Record?

- 1. Begin at the *Performance Appraisal Application* main page.
- 2. Open an employee's existing performance plan by selecting 'Update' from the 'Action' drop-down menu.
- 3. Select the 'Go' link.

Note: The DCIPS PAA status is 'Appraisal Pending HLR Approval.'

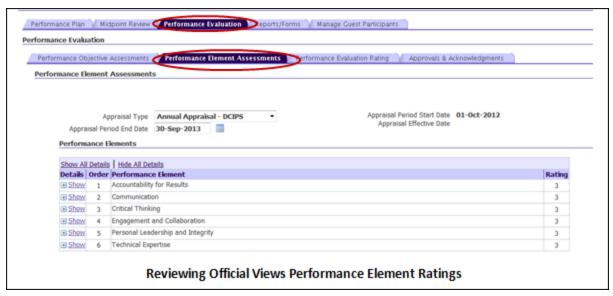
- 4. Select the Performance Plan tab.
- 5. Select any of the tabs to review information about the performance plan: the *Performance Plan Details* tab, the *Mission Goals* tab, the *Performance Objectives* tab, or the *Performance Elements* tab.
- 6. Select the Performance Evaluation tab.
- 7. Select the *Performance Objective Assessments* tab to view the employee's performance objectives, the employee and Rating Official assessments, and recommended ratings.
- 8. Select the *Performance Element Assessments* tab to list the employee's performance elements and recommended ratings.
- 9. Select the *Performance Evaluation Rating* tab to review the ratings, or select the 'Logout' link to end your session.

Sample of Reviewing Official Screenshots for DCIPS PAA Assessment Option 2



Reviewing Official Screenshot 1

DCIPS PAA Guide for the Performance Evaluation Single Assessment Option



Reviewing Official Screenshot 2

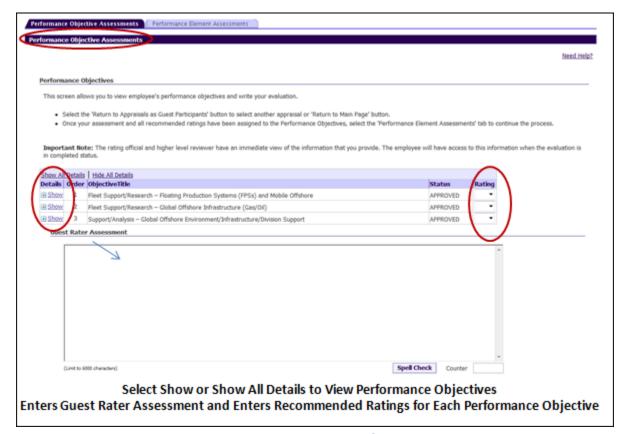
DCIPS PAA How Do I...Guest Rater Revisions for Single Assessment Option:

Section 4 - Guest Rater - How Do I ...

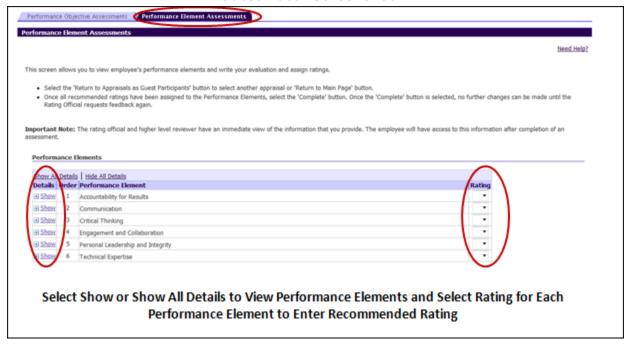
Provide a Guest Rating?

- 1. Begin at the *Performance Appraisal Application* main page.
- 2. Select the *Provide Guest Feedback* tab located in the upper left corner.
- 3. Under the 'Action' column, select 'Go' next to 'Update Feedback' to display the DCIPS PAA Guest Rater page.
- 4. In the *Performance Objective Assessments* tab, select the radio button next to each performance objective to display the performance objective.
- 5. Enter your evaluation in the Guest Rater Assessment text box. You may select the 'Spell Check' button to check the spelling of the text.
- 6. Recommend a Performance Objective Rating for each Performance Objective.
- 7. In the *Performance Element Assessments* tab, recommend a Performance Element Rating for each Performance Element.
- 8. Select the 'Complete' button in the upper right-hand corner of the tab.
 - **Note**: Once completed, you will not be able to update your feedback unless the rating official requests your feedback again.
- 9. Enter additional information to the notification message to the rating official.
- 10. Select the 'Submit' button. You will be returned to the *Provide Guest Feedback* tab. 'Participation Status' will be updated to 'Feedback Completed' and 'Date Completed' will reflect the date.
- 11. Select *Home* to start a new process, or select the 'Logout' link to end your session.

Sample of Guest Rater Screenshots for DCIPS PAA Assessment Option 2



Guest Rater Screenshot 1



Guest Rater Screenshot 2

Performance Evaluation Report: A new 3-page Performance Evaluation report was created for employees under this single assessment option. Sample of this report is below:

For Official Use Only Personal Data - Privacy Act of 1974



Performance Evaluation of Record Form

Employee Name	Position 0132, INTELL SPEC	Grade/Step GG-12/04
Evaluation Period 01-OCT-2012 to 30-SEP-2013	Evaluation Type Annual Appraisal - DCIPS	224
Rating Official Name	Pay Pool ID PN1500015P	

MISSION GOALS

To provide accurate, relevant, and useable all-source intelligence and services to the Marine Corps, Operating Forces, and the Intelligence Community in support of the National Security strategy:

- Focus Our Mission and Enterprise Activities to enable MCIA to meet the Intelligence needs of our Customers
- Provide Analysis that is Preeminent and Supports Mission Accomplishment
- Align Resources and Develop Sustainment Plan to support MCIA

PERFORMANCE OBJECTIVE NO. 1

TITLE: Intelligence Analysis and Production

Work with Senior Analyst and Branch Chief to develop a target area, and effective research strategy with established deadlines. These efforts will be identified and developed through the monitoring of CT message traffic and relevant meetings. Products will display quality and consistency within an all-source analysis framework and will be reflected on the team engagement strategy and research plan. Produce scheduled and unscheduled intelligence products such as MIRs, baseline assessments, briefings and other written products in appropriate form to Senior Analyst and intended audience. Anticipated production products will be: 4 messages, 2 briefs, 1 target package and 3 evaluations. Ensure all products are written using established guidelines and applying a basic knowledge of fundamental concepts learned through division training program and formal training courses. Analysis and decisions may have a significant impact on the division.

PERFORMANCE OBJECTIVE NO. 2

TITLE: Develop Knowledge of AOR and Terrorist Groups / Collections

Analyst will continue to develop an understanding of assigned AOR and corresponding terrorist groups operating within the region. With this gained knowledge the analyst will examine trends and group's TTPs in the attempt to enhance all intelligence products derived during objective period. Each effort will strive to be timely and predictive to allow leaders to be informed to make key judgments and policy. Additionally, the analyst will need to continue to develop an understanding of the collections process, in the context of the National Intelligence Priority Framework (NIPF) Counter Terrorism (CT) Priorities. The analyst is responsible for identifying analytical gaps/priorities and submitting requirements using a multi-INT approach, to the Senior Analyst and/or Branch Chief and Collection Manager. Requirements and gaps will be written into Target Packages so that they can be used as Collection Support Packages and should be updated in the team's overall collection strategy.

PERFORMANCE OBJECTIVE NO. 3

TITLE: Engagement Strategy / Training

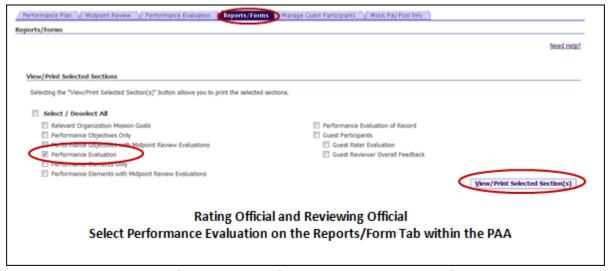
Demonstrate to Team Lead and Branch Chief an effective engagement strategy for community coordination. This established objective will allow for greater collaboration and reach outside of MCTD to colleagues within ONI and to key customers and counterparts in other organizations. The latter could include, but is not limited to: developing a new partnership with a key customer or counterpart; and/or taking specific actions to enhance an existing relationship. Analyst will coordinate and integrate work issues with individuals, functions, or agencies in a moderately structured environment where individual roles may be unclear. Strengthen analytic expertise, methods, and practices. Tap expertise, wherever it resides, and explore alternative analytic views.

Page 1 of the Performance Evaluation Report

For Official Use Only Personal Data - Privacy Act of 1974				
Employee Name	Position	Grade/Step		
Rating Official Name	0132, INTELL SPEC Pay Pool ID PN1500015P	GG-12/04		
	Employee Signature			
EMPLOYEE SELF-REPORT OF ACCOMPLISHMENTS				
Employee self-report of accomplishments will display in this block.				
Page 2 of the Performance Evaluation Report				

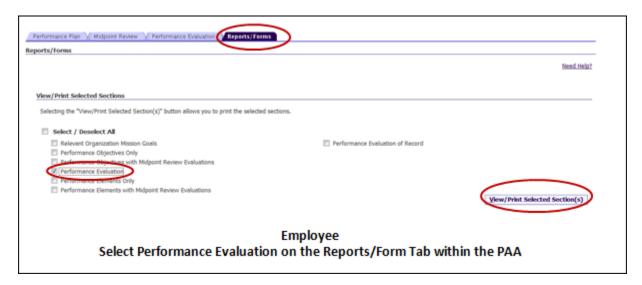
For Official Use Only Personal Data - Privacy Act of 1974				
Employee Name	Position 0132, INTELL SPEC	Grade/Step GG-12/04		
Rating Official Name	Pay Pool ID PN1500015P	y Pool ID		
Rating Official Signature	Higher Level Reviewer Signature	gher Level Reviewer Signature		
RATING OFFICIAL EVALUATION OF PERFORMANCE				
Rating Official Evaluation will appear here.		ļ		
5- OUTSTANDING 4- EXCELLENT 3- SUCCESSFUL 2- MINIMALLY SUCCESSFUL 1- UNACCEPTABLE				
PERFORMANCE EVALUATION OF RECORD	3 - SUCCESSFUL			
Page 3 of the Performance Evaluation Report				

Screenshots for Producing the Performance Evaluation Report for DCIPS PAA Assessment Option 2 Employees



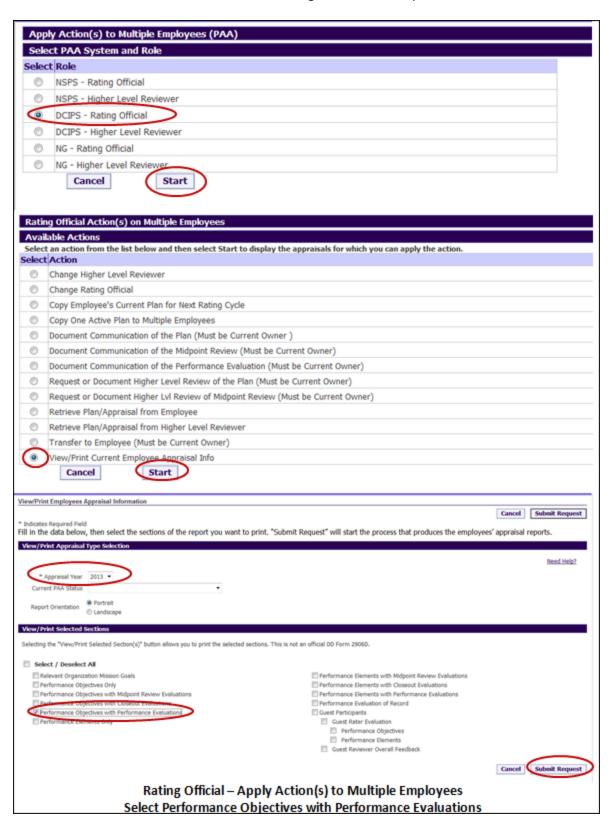
Performance Evaluation Report Screenshot 1

Note: To include the Performance Evaluation of Record Summary page with this report, also check the 'Performance Evaluation of Record' block.



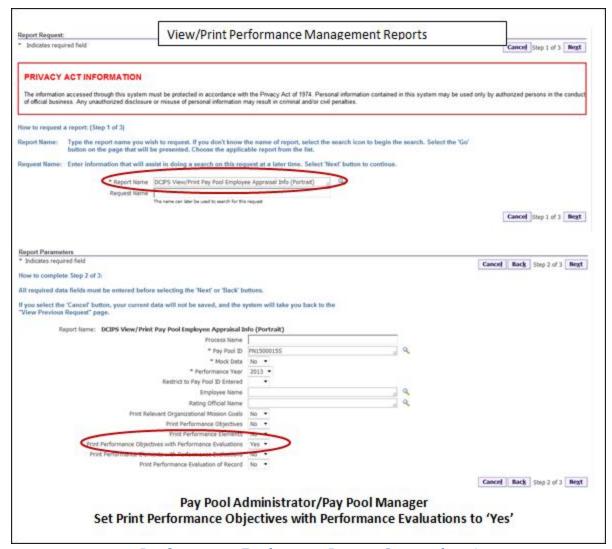
Performance Evaluation Report Screenshot 2

Note: To include the Performance Evaluation of Record Summary page with this report, also check the 'Performance Evaluation of Record' block.



Performance Evaluation Report Screenshot 3

Note: To include the Performance Evaluation of Record Summary page with this report, also check the 'Performance Evaluation of Record' block.



Performance Evaluation Report Screenshot 4

Note: To include the Performance Evaluation of Record Summary page with this report, also set 'Print Performance Evaluation of Record' to 'Yes'.

Sample of Final 2906D for DCIPS PAA Assessment Option 2 Attached